



# Fission event classification using machine learning methods

Ho Ting Wong  
(The Chinese University of Hong Kong)



香港中文大學  
The Chinese University of Hong Kong



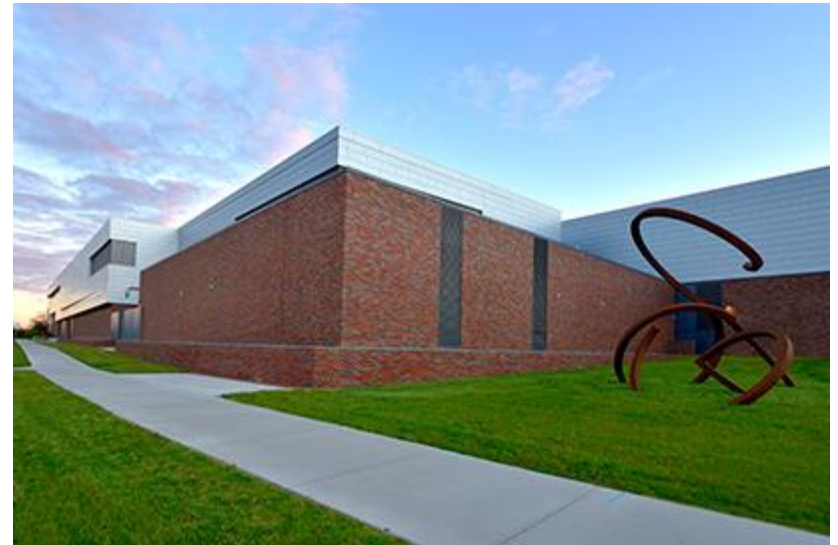
**MICHIGAN STATE**  
**UNIVERSITY**



U.S. DEPARTMENT OF  
**ENERGY** | Office of  
Science

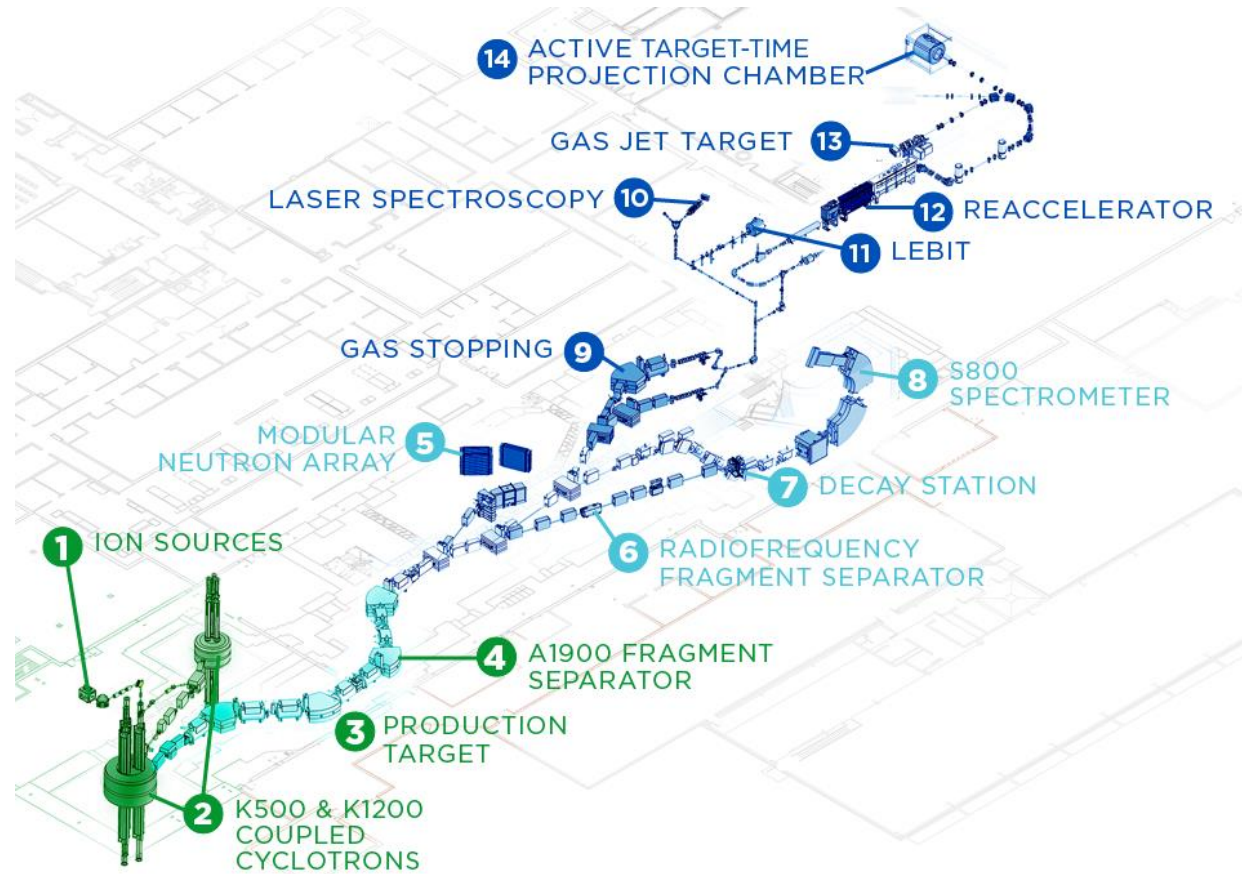
# FRIB

- Facilities for Rare Isotope Beams
- Nuclear physics user facility operated by Michigan State University
- Formerly National Superconducting Cyclotron Laboratory (NSCL)



# A short tour to NSCL

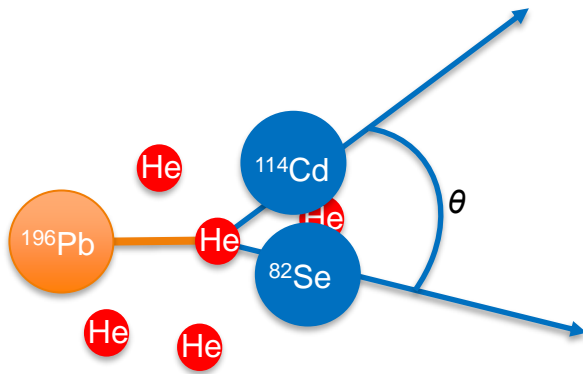
- It accelerates and produces rare isotope



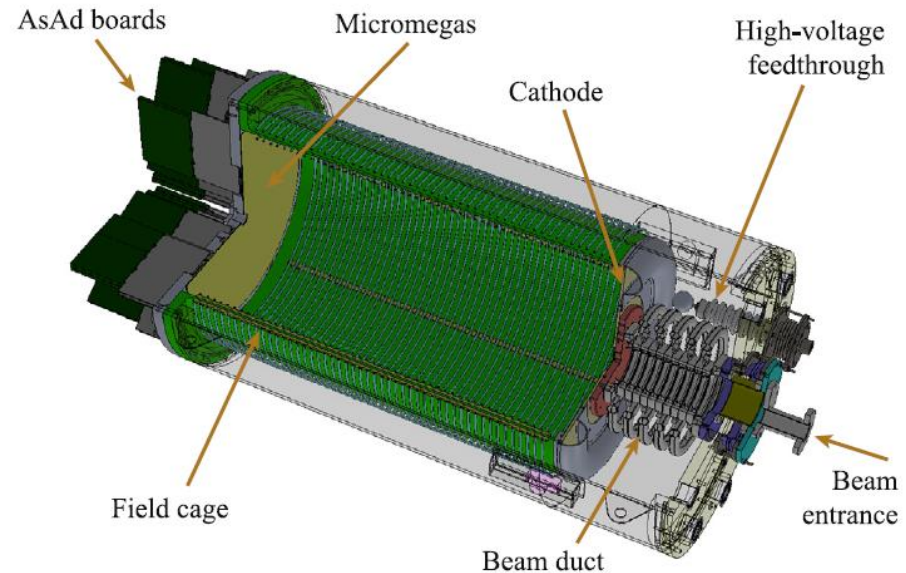
# The experiment

- Experiment Performed in Active-Target Time Projection Chamber (AT-TPC) at the National Superconducting Cyclotron Laboratory (NSCL)

- Fusion-fission reaction

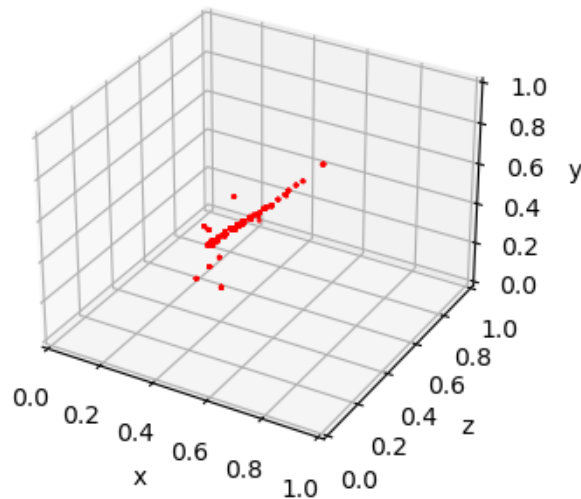


- Study fission properties of exotic nuclei near lead region

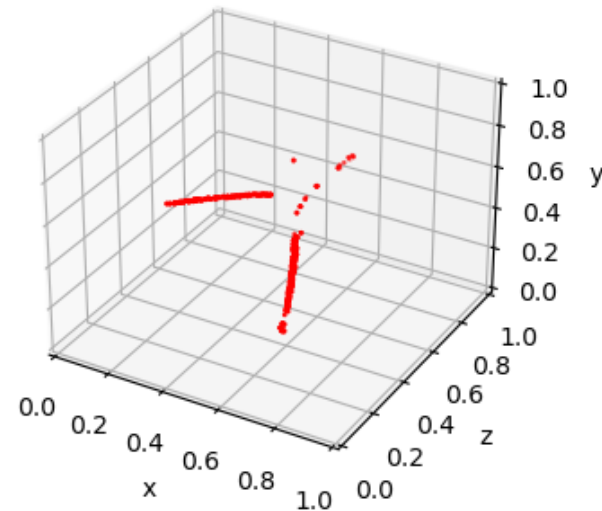


# Project Objective

- Since fission events occur with low probability ( $\sim 3\%$ ), we need to filter out fission events from background events
- Filter out fission events using unsupervised machine learning method



background



fission

# Complications

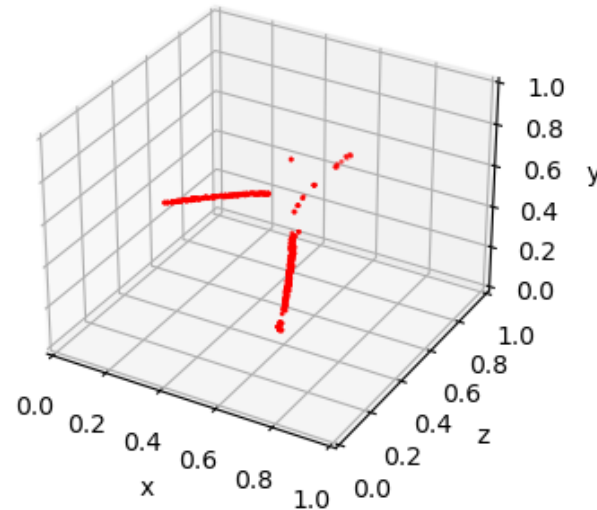
- Point clouds are ordered

- Intrinsic order to input
- But we should have permutation invariance
- E.g.

	x	y	z
point 1	1	1	1
point 2	2	2	2
point 3	3	3	3

is same as

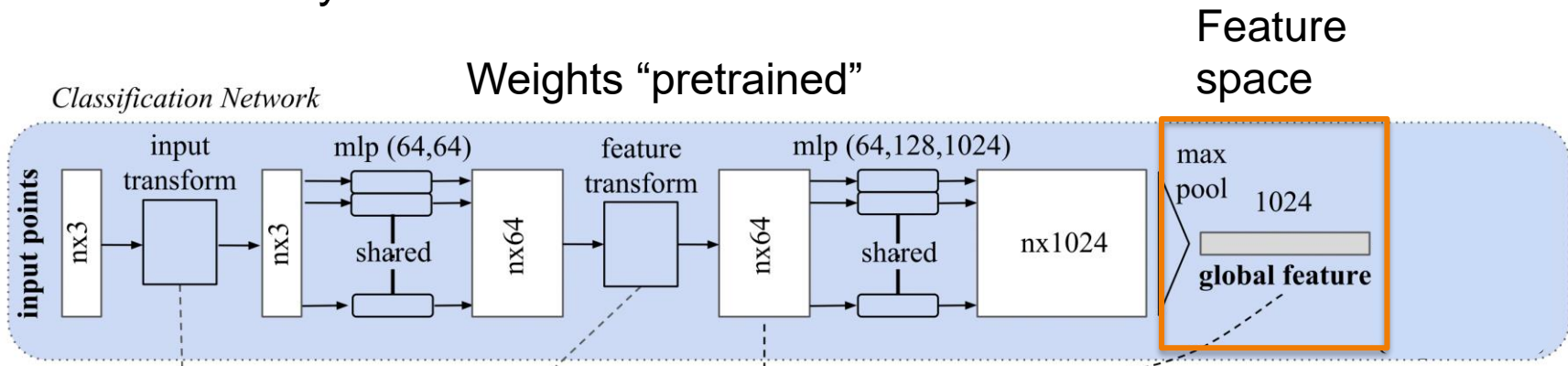
	x	y	z
point 1	2	2	2
point 2	1	1	1
point 3	3	3	3



- Not all machine learning model can handle the invariance

# Solution: PointNet

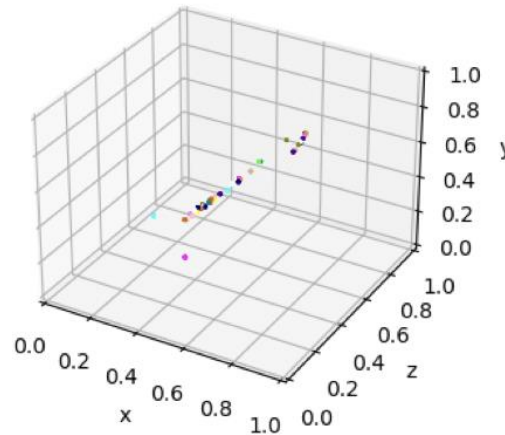
- Need to map the point cloud into a **feature space**
- The **feature space** is **permutation invariant**
- Get the feature space from a ***pretrained*** network using PointNet architecture
- Pretrain????
  - We have to set the weights of the model
  - Can be done by train the model to do another task first



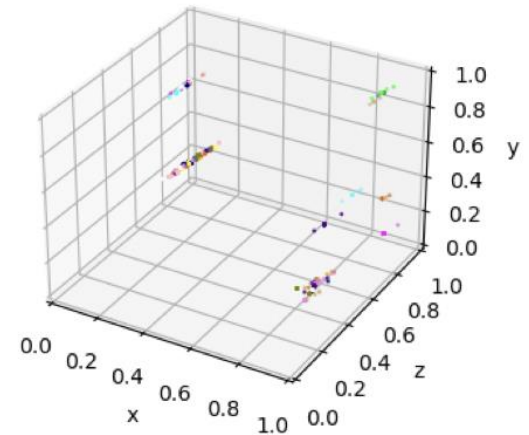
# Pretraining

- Pretraining task
  - Train a model to perform jigsaw reconstruction task
- Jigsaw reconstruction task
  - Divide chamber space into 63 ( $3 \times 3 \times 7$ ) voxels
  - Each point has a voxel number (0-62)
  - Shuffle the voxels
  - Train the model to predict the true voxel number
- Why?
  - Self-supervised
  - The model learn how to handle event point cloud data through the training

Event 474 original



Event 474 shuffled





# Classifying model

PointNet

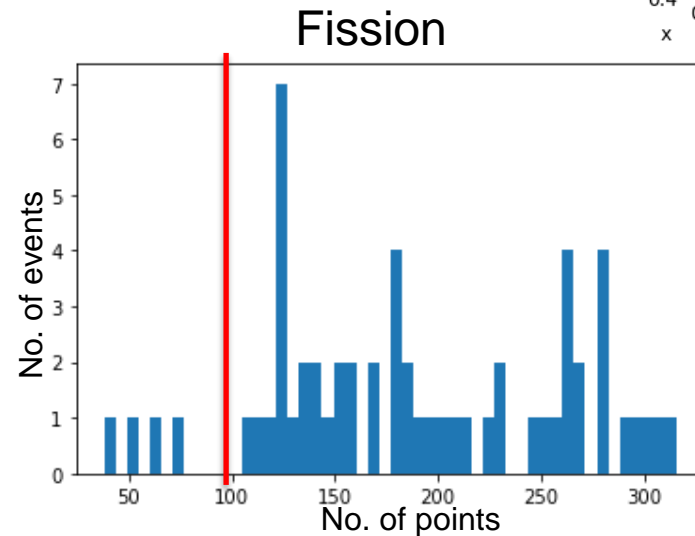
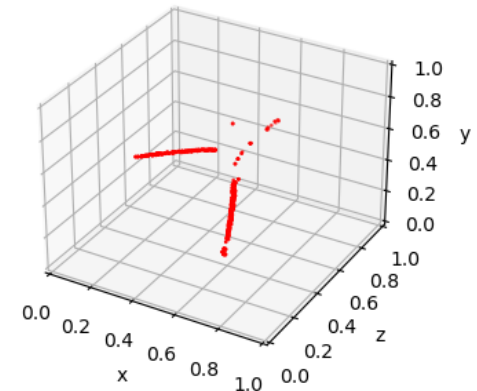
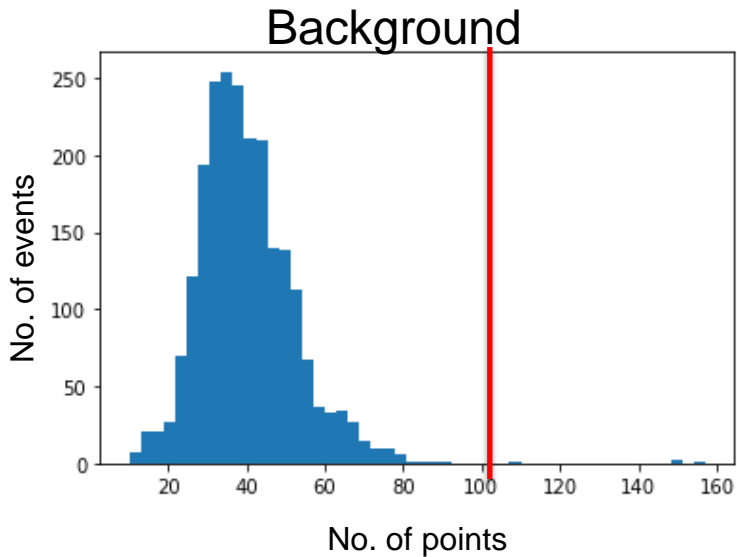
Different classifying models

Point cloud -> feature space -> label (fission/non-fission)

- Models used:
  - Cut method (non-machine learning, for reference)
  - One-class Support Vector Machine
  - K-means clustering
  - One-class Support Vector Machine + k-means clustering

# Cut method (1)

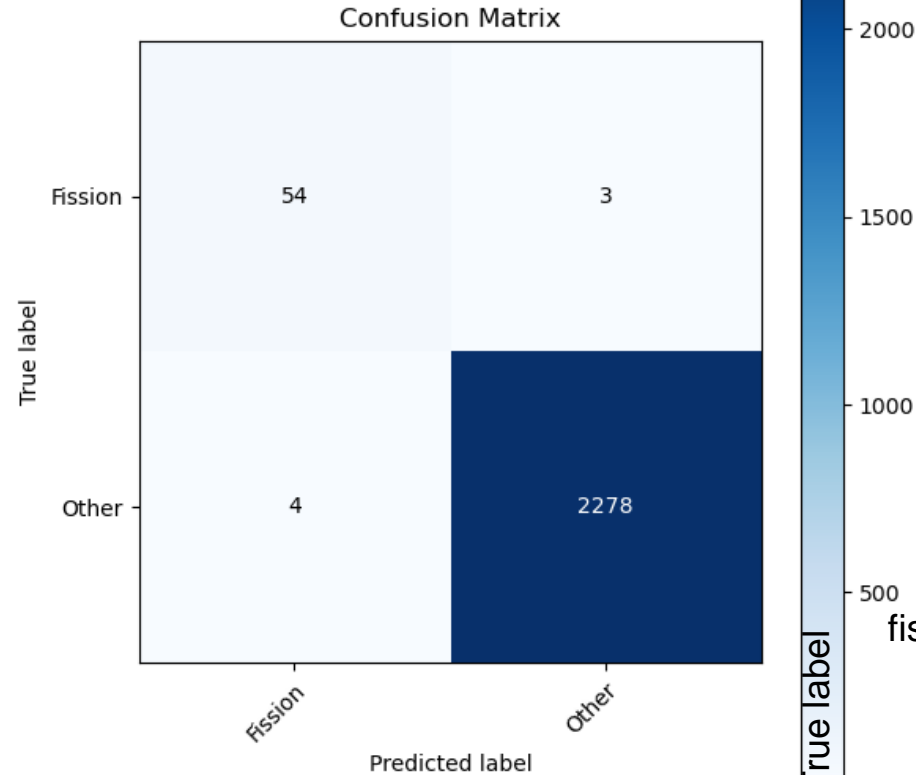
- Non-machine learning
- Classify fission events using “number of points” of event



- Apply a 100-points cut

# Cut method (2)

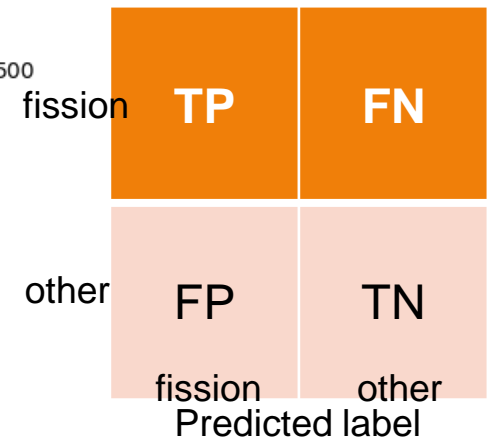
- Cut: 100 points
  - Recall: 95%
  - Precision: 93%
  - F1: 94%



■ Recall:  $\frac{TP}{TP+FN}$

■ Precision:  $\frac{TP}{TP+FP}$

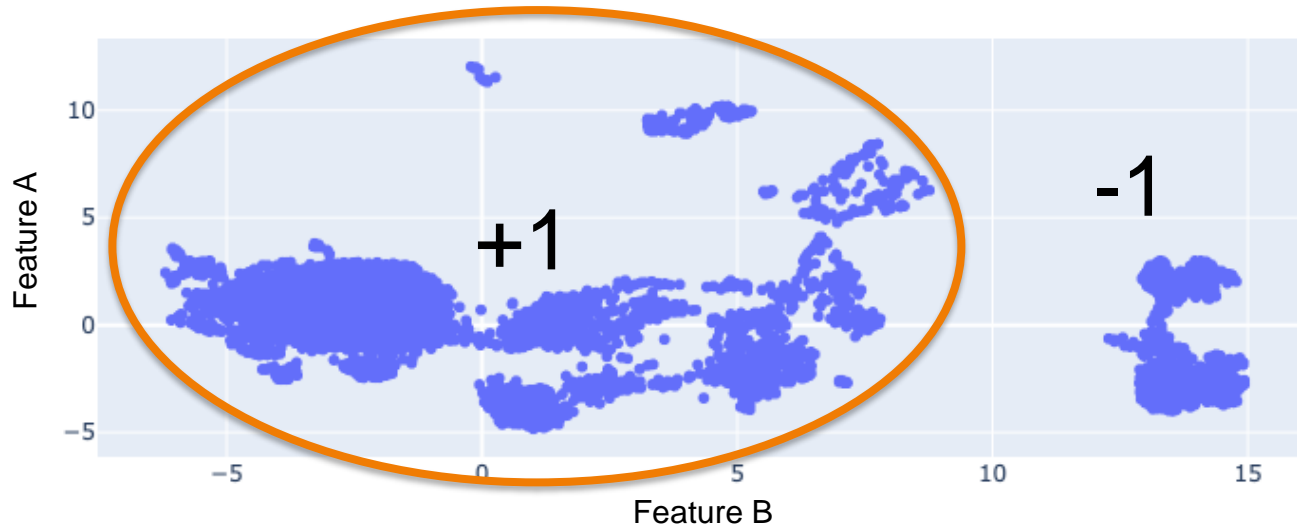
■ F1:  $2 \times \frac{\text{Recall} \cdot \text{Precision}}{\text{Recall} + \text{Precision}}$



No. of points

# One-class SVM (1)

- One-class Support Vector Machine (SVM)
  - Detect abnormal events



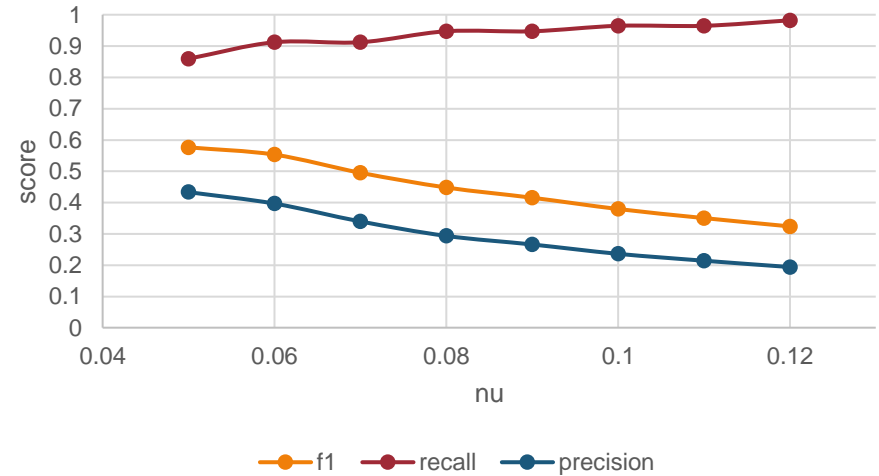
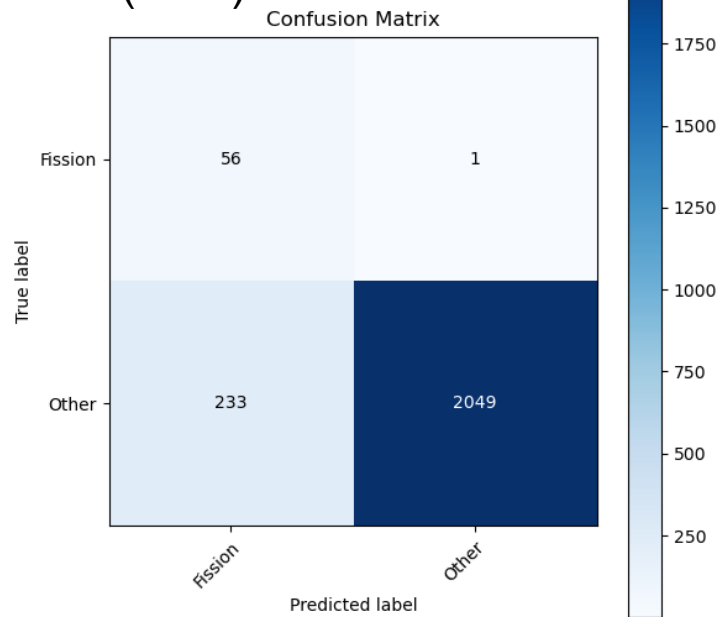
- Fission events are rare. In principle, fission should be predicted -1
- One important hyperparameter:  $\nu$ 
  - »  $\nu$  = percentage of events “outside the circle”

# One-class SVM (2)

## Result:

- High **recall** (>98% for  $\nu = 0.12$ )
- Low **precision** (~20% for  $\nu = 0.12$ )
  - » vs ~3% before extraction

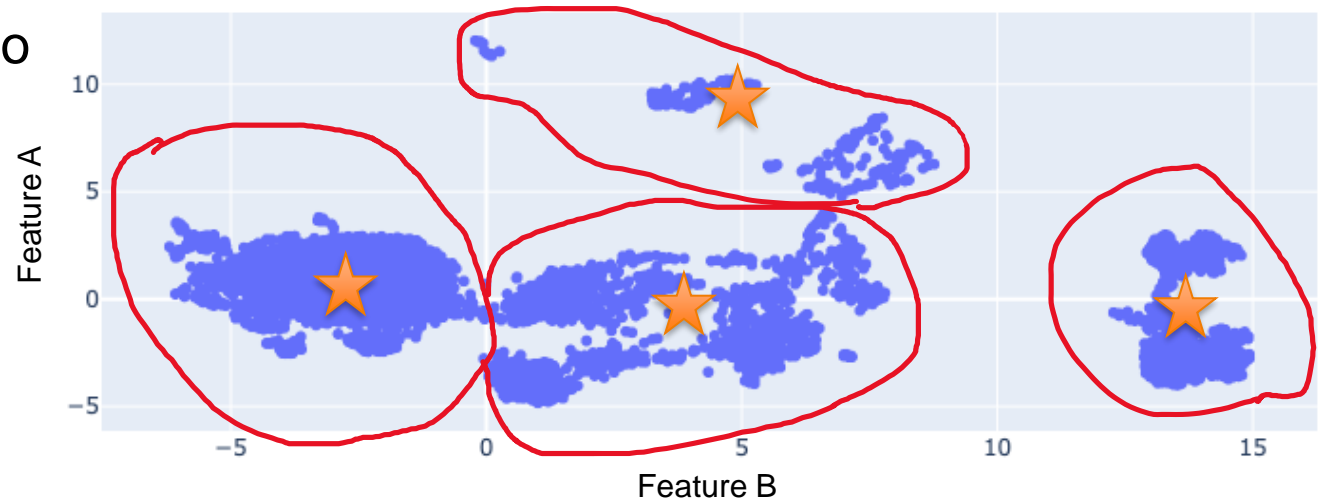
$\nu = 0.12$  (12%)



# Clustering (1)

## ■ k-means clustering

- Classify events into different groups
- Set centroids
- Classify according to *closest centroid*

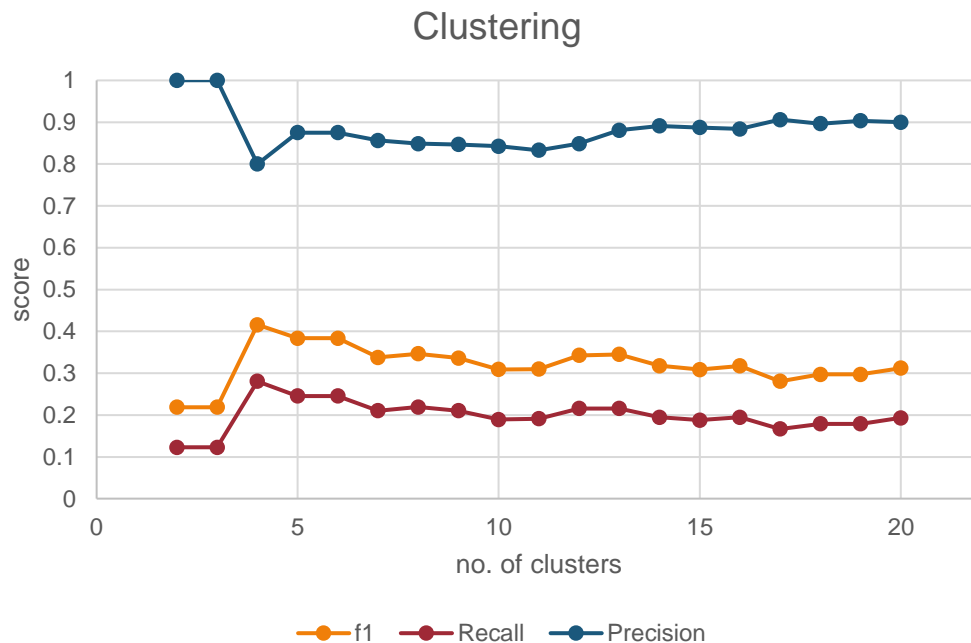


- Hyperparameter: number of clusters
- Select groups that are mostly fission events

# Clustering (2)

## Result

- High Precision
- Low **Recall**
  
- Not something we want
- Not a suitable model
- Why? Data imbalance: fission events are much rare than background



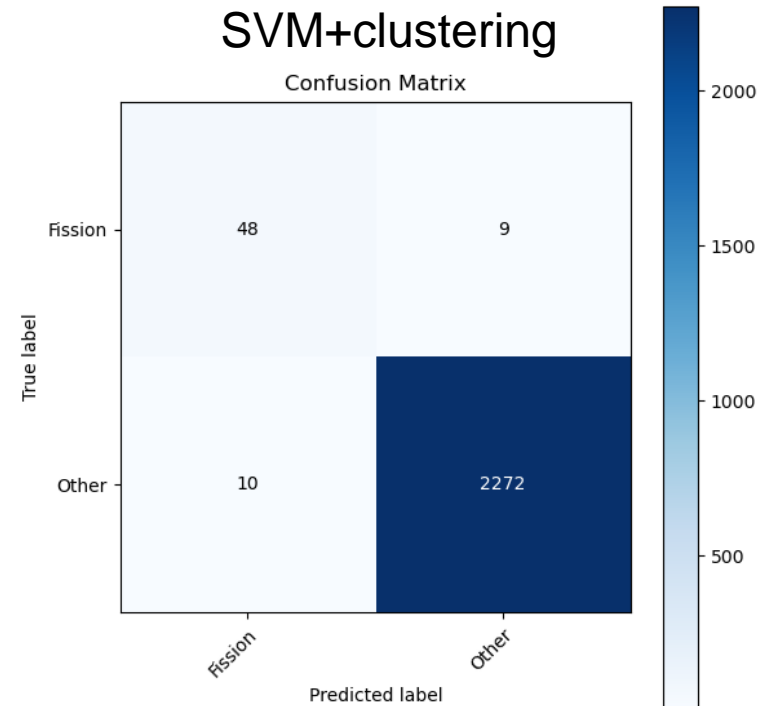
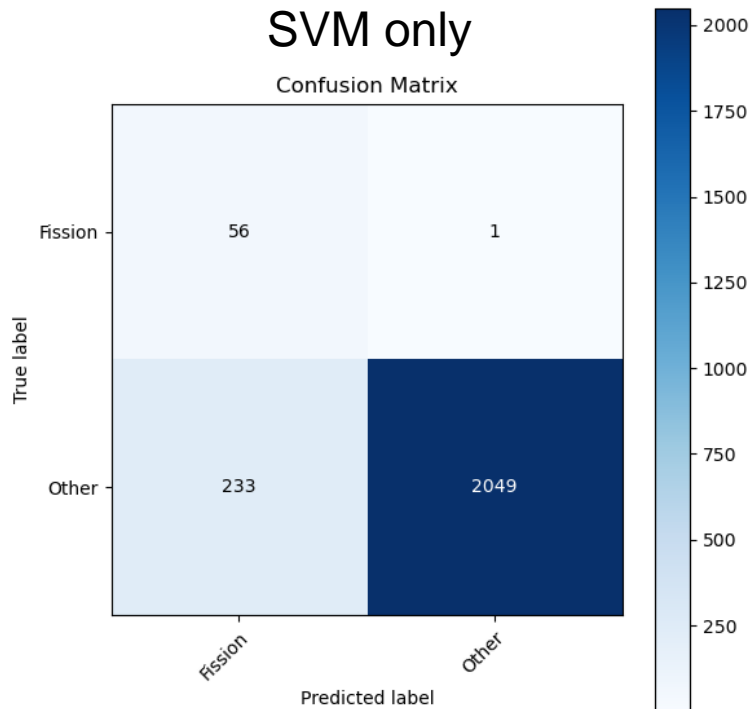
# One-class SVM + Clustering (1)

- One-class SVM model:
  - High **recall**
  - Low precision
- K-means clustering model:
  - Low recall
  - High precision
- Proposal: One-class SVM follow by k-means clustering
  - Extracted events labeled “-1” in one-class SVM
    - » Fission events not as rare as before (~3% vs ~20%)
  - Train with k-means clustering model on this subset of data
  - Goal: high recall and high precision at the same time



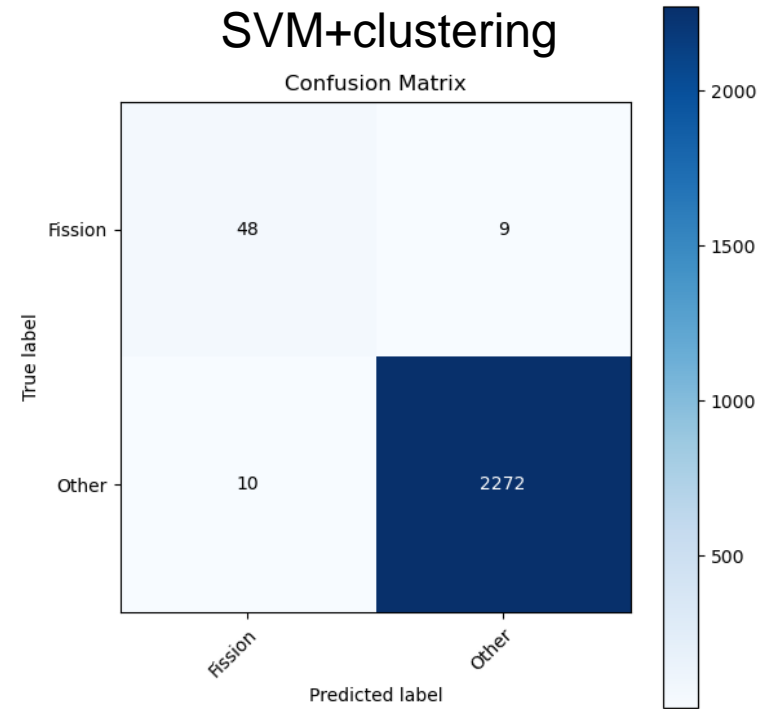
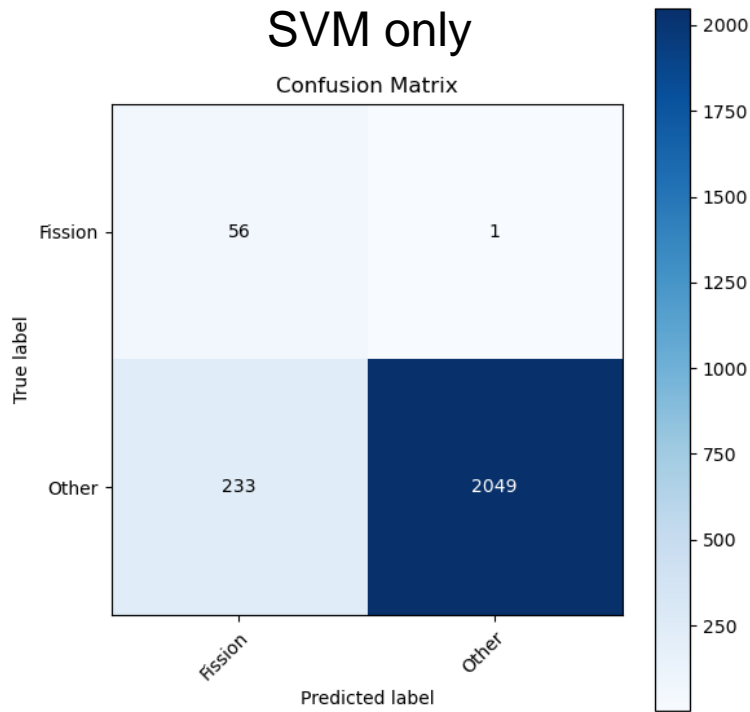
# One-class SVM + Clustering (2)

- $\nu = 0.12$ , 16 clusters
  - F1: 83.5%, Recall: 84.2%, Precision: 82.8%
- $\nu = 0.12$  one-class SVM only
  - F1: 32.4%, Recall: 98.2%, Precision: 19.3%



# One-class SVM + Clustering (3)

- Compared to one-class SVM model
  - Improved precision
  - But lower recall



# Conclusion (1)

- Various models were used to do the classification task
- Summary:

Model	F1	Recall	Precision
SVM <sup>1</sup>	0.32	<b><u>0.98</u></b>	0.19
Clustering <sup>2</sup>	0.42	0.28	0.80
SVM+Clustering <sup>3</sup>	0.84	0.84	0.83
Cut	<b><u>0.94</u></b>	0.95	<b><u>0.93</u></b>

1: nu = 0.12

2: 4 clusters

3: nu = 0.12, 16 clusters

# Conclusion (2)

- Unsupervised/self-supervised learning method can classify fission events
  - SVM model has high recall
    - » Although low precision (~20%), still better than before (~3%)
  - No labeling need to be done
  - A completely unrelated task (jigsaw reconstruction) was used to pretrain the model
  
- Future work
  - Use a different pretraining method
  - Include more information (e.g. charge, number of hit) to the model
    - » We only used spatial coordinates

# Reference

- Qi, Charles R., et al. "Pointnet: Deep learning on point sets for 3d classification and segmentation." *Proceedings of the IEEE conference on computer vision and pattern recognition* (2017).
- Sauder, Jonathan, and Bjarne Sievers. "Self-supervised deep learning on point clouds by reconstructing space." *Advances in Neural Information Processing Systems* 32 (2019).

# Acknowledgment

- This work was supported by the Department of Physics, C.N. Yang Scholarship, Yasumoto International Exchange Scholarship, the National Science Foundation under Grant No. 2012865 and the U.S. Department of Energy under Grant No. DE-NA0003908.
- Thank Prof. Chu and Dr Leung for making the SURE program possible. Thank Prof. Betty Tsang, the HiRA, Prof. Michelle Kuchera, Prof. Raghu Ramanujan and the ALPhA group for their guidance.



# The End

- Questions?



**Facility for Rare Isotope Beams**  
U.S. Department of Energy Office of Science  
Michigan State University